

Premier Solutions, Personal Service

We aspire to bring the unique promise of the Rockefeller legacy together with a culture of innovation and cutting-edge technology to help discerning clients—individuals, families, businesses, and institutions—achieve their goals through the delivery of premier financial advisory and investment management. We specialize in wealth management, asset management, and investment banking with the objective of connecting clients to a broad network of solutions and unique opportunities that they wouldn't be able to explore anywhere else.

140+

YEAR LEGACY

32

MARKETS IN THE U.S.¹

1,671

EMPLOYEES FIRMWIDE

187

ADVISOR TEAMS

\$203B

IN CLIENT ASSETS²

ROCKEFELLER GLOBAL FAMILY OFFICE

Serving high and ultra-high net worth individuals and families through a team of industry leading private advisors and financial experts

ROCKEFELLER GLOBAL INVESTMENT MANAGEMENT

We provide access to premier investment options for institutions, financial advisors, and individuals, and seasoned strategic advice through three integrated functions: Investments, Office of the CIO, and Client Solutions.

ROCKEFELLER GLOBAL INVESTMENT BANKING

Seasoned advice for businesses at all stages of growth, with an emphasis on independent analysis and unique access to senior bankers



1. We serve clients across 32 markets in the U.S. and an office in London.

2. Client assets as of 3/31/26, Client Assets refers to assets under management, brokerage assets and assets under administration.

Leading the Future of Financial Services



Our original founder, John D. Rockefeller, is widely credited with establishing the first full-service family office in the United States, later known as Rockefeller & Co. Since 1882, we have stood beside members of the Rockefeller family, helping guide them through opportunities and challenges as they occur — daily, and over the course of seven generations. As their needs evolved over time, so have the capabilities of the firm. Today, Rockefeller Capital Management offers this same type of service, care and trust to a broader base of clients to help them unlock the potential of their investments.

We continue to learn from our heritage and seek out new and innovative ways of working, using our past success to help us continue leading the future of financial services.

Learn more at rockco.com

This material has been prepared by Rockefeller Capital Management and is provided for informational purposes only and does not constitute an offer to sell or a solicitation of an offer to buy interests in any Rockefeller Capital Management investment vehicle or product or service. The information provided is as of the date indicated and is subject to change without notice. Investing involves risk, including risk of loss. This material may not be copied, reproduced, or distributed without Rockefeller Capital Management's prior written consent. Rockefeller Capital Management is the marketing name for Rockefeller Capital Management L.P. and its affiliates. Investment advisory, asset management and fiduciary activities are performed by the following affiliates of Rockefeller Capital Management: Rockefeller & Co. LLC, Rockefeller Trust Company, N.A., The Rockefeller Trust Company (Delaware) and Rockefeller Financial LLC. Rockefeller Financial LLC is a broker-dealer and investment adviser dually registered with the U.S. Securities and Exchange Commission (SEC). Member Financial Industry Regulatory Authority (<http://www.finra.org/>); Securities Investor Protection Corporation (<https://www.sipc.org/>). The registrations and memberships above in no way imply that the SEC has endorsed the entities, products or services discussed herein. Additional information is available upon request. Products and services may be provided by various affiliates of Rockefeller Capital Management.

Our Leadership

OPERATING COMMITTEE

A 12-member operating committee brings extensive financial industry experience to the firm. Under President and CEO Gregory J. Fleming, its members shape strategy and guide daily operations.

BOARD OF DIRECTORS

A distinguished 14-member board provides oversight and strategic guidance:

John J. Brennan
André Desmarais
Gregory J. Fleming
Arthur Heilbronn
Derek Jeter
Andrea Jung
Brian Kaufmann

Shelly Lazarus
Martin J. Mannion
Peter M. O'Neill
James O'Sullivan
Lauren Offenbecher
David Rockefeller, Jr.
Paul Yun