

## US PATRIOT ACT NOTICE

### IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT OR ESTABLISHING A NEW CUSTOMER RELATIONSHIP

To help the government fight the funding of terrorism and money laundering activities, Federal law requires U.S. financial institutions to obtain, verify, and record information that identifies each individual or legal entity that opens an account or establishes a customer relationship with Rockefeller Capital Management<sup>1</sup> and its affiliates (together, "Rockefeller Capital Management"). Federal law, subject to certain exclusions, also requires U.S. financial institutions to obtain, verify, and record information that identifies the beneficial owners and a control person of a legal entity that opens an account or establishes a customer relationship.

What this means for you: When you open an account, we will ask you for your name, address, taxpayer or other government identification number and other information, such as date of birth for individuals, which will allow us to identify you. We may also ask to see identification documents such as a driver's license, passport or other documents showing the existence of the account owner.

Additionally, if you enter into a new customer relationship with Rockefeller Capital Management on behalf of a legal entity, we will ask for the names, addresses, dates of birth and other identification information of the beneficial owners and the control person of the legal entity. If all required documentation or information is not provided, Rockefeller Capital Management may be unable to open an account or establish a relationship with you.

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<sup>1</sup> Rockefeller Capital Management is the marketing name for Rockefeller Capital Management L.P. and its affiliates. Investment advisory and fiduciary activities are performed by the following affiliates of Rockefeller Capital Management: Rockefeller & Co. LLC, Rockefeller Trust Company, N.A. and The Rockefeller Trust Company (Delaware). Rockefeller Asset Management and Rockefeller Global Family Office are divisions of Rockefeller & Co. LLC. Rockefeller Financial LLC is dually registered as a broker dealer and registered investment adviser with the US Securities Exchange Commission, Member FINRA and SIPC.