

QUARTERLY FACT SHEET | Q3 2024

Premier Solutions, Personal Service

We aspire to bring the unique promise of the Rockefeller legacy together with a culture of innovation and cutting-edge technology to help discerning clients—individuals, families, businesses, and institutions—achieve their goals through the delivery of premier financial advisory and investment management. We specialize in wealth management, asset management, and investment banking with the objective of connecting clients to a broad network of solutions and unique opportunities that they wouldn't be able to explore anywhere else.



\$149B

IN CLIENT ASSETS¹

31

MARKETS IN THE U.S.²

140+

YEAR LEGACY

ROCKEFELLER GLOBAL FAMILY OFFICE

Serving high and ultra-high net worth individuals and families through a team of exceptional private advisors and financial experts

ROCKEFELLER ASSET MANAGEMENT

Managing equity, fixed income, and alternative strategies that seek outperformance for institutional investors, financial professionals, and other institutionally-minded investors

ROCKEFELLER GLOBAL INVESTMENT BANKING

Seasoned advice for businesses at all stages of growth, with an emphasis on independent analysis and unique access to senior bankers



1. Client Assets refers to assets under management, brokerage assets, and assets under administration as of 10/14/2024.
2. We serve clients across 31 markets in the U.S. and an office in London.

Leading the Future of Financial Services



Our original founder, John D. Rockefeller, is widely credited with establishing the first full-service family office in the United States, later known as Rockefeller & Co. Since 1882, we have stood beside members of the Rockefeller family, helping guide them through opportunities and challenges as they occur — daily, and over the course of seven generations. As their needs evolved over time, so have the capabilities of the firm. Today, Rockefeller Capital Management offers this same type of service, care and trust to a broader base of clients to help them unlock the potential of their investments.

We continue to learn from our heritage and seek out new and innovative ways of working, using our past success to help us continue leading the future of financial services.

Learn more at rockco.com

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Our Leadership

MANAGEMENT COMMITTEE

A 49-member management committee brings extensive financial industry experience to the firm. Under President and CEO Gregory J. Fleming, its members shape strategy and guide daily operations.

BOARD OF DIRECTORS

A distinguished 11-member board provides oversight and strategic guidance:

John J. Brennan
André Desmarais
Gregory J. Fleming
Derek Jeter
Andrea Jung
Brian Kaufmann

Shelly Lazarus
Martin J. Mannion
Peter M. O'Neill
James O'Sullivan
David Rockefeller, Jr.