

ROCKEFELLER EQUITY ALLOCATION FUND

NOTICE TO SHAREHOLDERS

OFAC EXECUTIVE ORDER 13959 - COMMUNIST CHINESE MILITARY COMPANIES (CCMC)

On November 12, 2020, in response to national security concerns posed by the People's Republic of China ("PRC"), President Trump issued an executive order (EO 13959) that prohibits U.S. investors from investing in certain Chinese companies. The restrictions of EO 13959 apply to specifically identified Communist Chinese military companies ("CCMCs"), ranging from Chinese entities in the telecommunications, aerospace, chemical manufacturing, and electronics sectors (collectively, "Sanctioned Securities").

The Rockefeller Equity Allocation Fund (the "Fund") intends to fully comply with the Executive Order and has taken the following steps to act in accordance with the Executive Order:

1. The Fund has not purchased and will not purchase for value on or after January 11, 2021 any of the Sanctioned Securities of companies identified in the Executive Order;
2. As additional Sanctioned Securities become subject to restrictions under the Executive Order, the Fund will not purchase for value any of these Sanctioned Securities on or after the effective date of the restrictions applicable to these Sanctioned Securities; and
3. To the extent it maintains holdings of Sanctioned Securities prior to the effective date of the restrictions under the Executive Order applicable to these Sanctioned Securities, the Fund will seek to divest all holdings of the Sanctioned Securities in accordance with the requirements of the Executive Order.